

# Approximation algorithms for two-machine proportionate routing open shop on a tree

Ilya Chernykh, Olga Krivonogova, Anna Shmyrina

Sobolev Institute of Mathematics

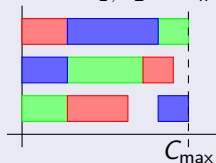
The research was supported by Russian Science Foundation grant N 22-71-10015

# Open shop problem

Open Shop( $O_m || C_{\max}$ )

Machines:  $M_1, M_2 \dots M_m$

Jobs:  $J_1, J_2 \dots J_n$



- $O2 || C_{\max}$  is polynomially solvable (Gonzalez, Sahni 1976)
- $O3 || C_{\max}$  is NP-hard (Gonzalez, Sahni 1976)

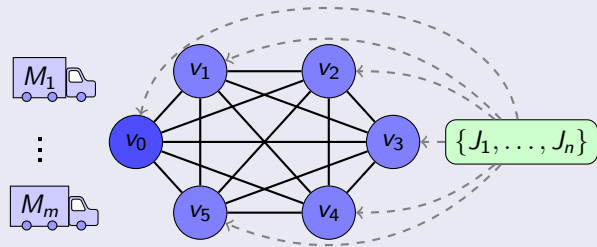
# Proportionate Open Shop problem

	$J_1$	$J_2$	$J_3$	$\dots$	$J_n$
$M_1$	$p_{11}$	$p_{12}$	$p_{13}$	$\dots$	$p_{1n}$
$M_2$	$p_{21}$	$p_{22}$	$p_{23}$	$\dots$	$p_{2n}$
$M_3$	$p_{31}$	$p_{32}$	$p_{33}$	$\dots$	$p_{3n}$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$
$M_m$	$p_{m1}$	$p_{m2}$	$p_{m3}$	$\dots$	$p_{mn}$

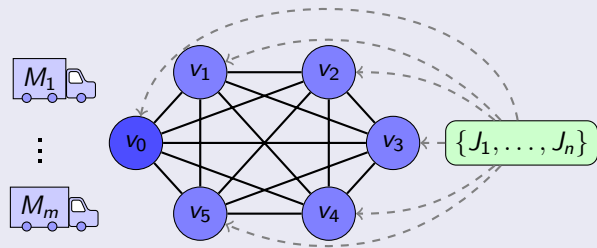
Proportionate Open Shop ( $Om|j\text{-prpt}|C_{\max}$ ):  $p_{ij} = p_j$

- $O3|j\text{-prpt}|C_{\max}$  is NP-hard (Lui, Bulfin 1987)
- $O3|j\text{-prpt}|C_{\max}$  can be solved in pseudopolynomial time (Sevastyanov 2019)

# Routing Open shop model

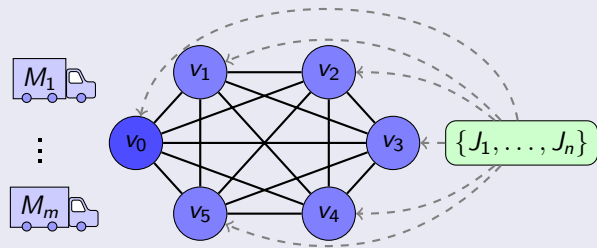


# Routing Open shop model



$ROm|j\text{-prpt}, G = X|R_{\max}$

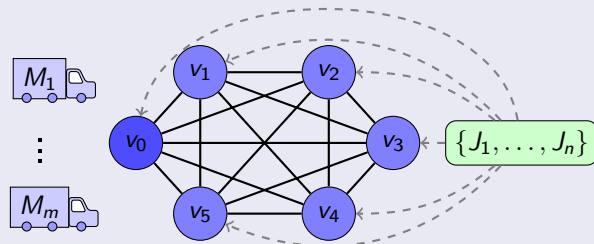
# Routing Open shop model



$ROm|j\text{-prpt}, G = X|R_{\max}$

$ROm|j\text{-prpt}, R_{tt}, G = X|R_{\max}$

# Routing Open shop model



$ROm|j\text{-prpt}, G = X|R_{\max}$

$ROm|j\text{-prpt}, R_{tt}, G = X|R_{\max}$

$\vec{R}Om|j\text{-prpt}, G = X|R_{\max}$

# Standard lower bound

	$J_1$	$J_2$	$J_3$	$\dots$	$J_n$	
$M_1$	$p_{11}$	$p_{12}$	$p_{13}$	$\dots$	$p_{1n}$	$l_1$
$M_2$	$p_{21}$	$p_{22}$	$p_{23}$	$\dots$	$p_{2n}$	$l_2$
$M_3$	$p_{31}$	$p_{32}$	$p_{33}$	$\dots$	$p_{3n}$	$l_3$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	$\vdots$
$M_m$	$p_{m1}$	$p_{m2}$	$p_{m3}$	$\dots$	$p_{mn}$	$l_m$
	$d_1$	$d_2$	$d_3$	$\dots$	$d_n$	



## Standard lower bound

	$J_1$	$J_2$	$J_3$	$\dots$	$J_n$	
$M_1$	$p_{11}$	$p_{12}$	$p_{13}$	$\dots$	$p_{1n}$	$l_1$
$M_2$	$p_{21}$	$p_{22}$	$p_{23}$	$\dots$	$p_{2n}$	$l_2$
$M_3$	$p_{31}$	$p_{32}$	$p_{33}$	$\dots$	$p_{3n}$	$l_3$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	$\vdots$
$M_m$	$p_{m1}$	$p_{m2}$	$p_{m3}$	$\dots$	$p_{mn}$	$l_m$
	$d_1$	$d_2$	$d_3$	$\dots$	$d_n$	

Lower bound for  $Om || C_{\max}$

$$\bar{C} = \max\{l_{\max}, d_{\max}\}$$

## Standard lower bound

	$J_1$	$J_2$	$J_3$	$\dots$	$J_n$	
$M_1$	$p_{11}$	$p_{12}$	$p_{13}$	$\dots$	$p_{1n}$	$l_1$
$M_2$	$p_{21}$	$p_{22}$	$p_{23}$	$\dots$	$p_{2n}$	$l_2$
$M_3$	$p_{31}$	$p_{32}$	$p_{33}$	$\dots$	$p_{3n}$	$l_3$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\dots$	$\vdots$	$\vdots$
$M_m$	$p_{m1}$	$p_{m2}$	$p_{m3}$	$\dots$	$p_{mn}$	$l_m$
	$d_1$	$d_2$	$d_3$	$\dots$	$d_n$	

Lower bound for  $Om||C_{\max}$

$$\bar{C} = \max\{l_{\max}, d_{\max}\}$$

Lower bound for  $ROm||R_{\max}$

$$\bar{R} = \max\{l_{\max} + T^*, \max_{v \in V} (d_{\max}(v) + 2 \text{dist}(v_0, v))\}$$

where  $\text{dist}(v_i, v_j)$  is the distance between nodes  $v_i$  and  $v_j$ .

## Standard lower bound

Lower bound for  $ROM || R_{\max}$

$$\bar{R} = \max\{\ell_{\max} + T^*, \max_{v \in V}(d_{\max}(v) + 2\text{dist}(v_0, v))\}$$

where  $\text{dist}(v_i, v_j)$  is the distance between nodes  $v_i$  and  $v_j$ .

## Standard lower bound

Lower bound for  $ROm||R_{\max}$

$$\bar{R} = \max\{\ell_{\max} + T^*, \max_{v \in V}(d_{\max}(v) + 2dist(v_0, v))\}$$

where  $dist(v_i, v_j)$  is the distance between nodes  $v_i$  and  $v_j$ .

Lower bound for  $RO2|Rtt|R_{\max}$

$$\bar{R} = \max\left\{\max_i(\ell_i + T_i^*), \max_{v \in V}(d_{\max}(v) + dist_1(v_0, v) + dist_2(v_0, v))\right\}$$

## Standard lower bound

Lower bound for  $RO2|Rtt|R_{\max}$

$$\bar{R} = \max \left\{ \max_i (\ell_i + T_i^*), \max_{v \in V} (d_{\max}(v) + dist_1(v_0, v) + dist_2(v_0, v)) \right\}$$

Lower bound for  $\vec{R} O2|Rtt|R_{\max}$

$$\bar{R} = \max \left\{ \max_i (\ell_i + T_i^*), \max_{v \in V} (d_{\max}(v) + \overleftrightarrow{dist}_{\min}(v_0, v)) \right\},$$

$$\overleftrightarrow{dist}_{\min}(v, u) = \min \left\{ dist_1(v, u) + dist_2(u, v), dist_2(v, u) + dist_1(u, v) \right\}$$

# Optima Localization Problem

## Optima Localization Problem

Find minimal value  $\rho$  such that for all instances  $I$ :  $R_{\max}^*(I) \in [\bar{R}, \rho\bar{R}]$ .

Optima localization intervals for  $RO2||R_{\max}$ :

- $[\bar{R}, \frac{6}{5}\bar{R}]$  for  $RO2|G = K_2|R_{\max}$  problem (Averbakh et al 2005)
- $[\bar{R}, \frac{6}{5}\bar{R}]$  for  $RO2|G = K_3|R_{\max}$  problem (Chernykh, Lgotina 2016)
- $[\bar{R}, \frac{6}{5}\bar{R}]$  for  $\vec{R}O2|G = tree|R_{\max}$  problem (Chernykh, Krivonogova 2019)

# Optima Localization Problem

## Optima Localization Problem

Find minimal value  $\rho$  such that for all instances  $I$ :  $R_{\max}^*(I) \in [\bar{R}, \rho\bar{R}]$ .

Optima localization intervals for  $RO2|Rtt|R_{\max}$ :

- $[\bar{R}, \frac{5}{4}\bar{R}]$  for  $RO2|Rtt, G = K_2|R_{\max}$  и  $RO2|Rtt, G = K_3|R_{\max}$  problem (Chernykh, Lgotina 2019)
- $[\bar{R}, \frac{5}{4}\bar{R}]$  for  $\vec{R}O2|Rtt, G = tree|R_{\max}$  problem (Chernykh, Krivonogova 2019)

# Optima Localization Problem

## Optima Localization Problem

Find minimal value  $\rho$  such that for all instances  $I$ :  $R_{\max}^*(I) \in [\bar{R}, \rho\bar{R}]$ .

Optima localization intervals for proportionate problems:

- $[\bar{C}, \frac{10}{9}\bar{C}]$  for  $O3|j - prpt|C_{\max}$  problem (Sevastyanov 2019)
- $[\bar{R}, \frac{7}{6}\bar{R}]$  for  $RO2|j - prpt, G = K_3|R_{\max}$  and  $RO2|j - prpt, G = K_2|R_{\max}$  problems (Pyatkin, Chernykh, 2022)



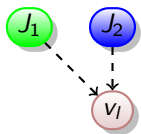
# Optima Localization Problem

## Optima Localization Problem

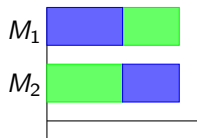
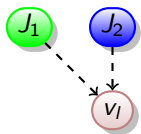
Find minimal value  $\rho$  such that for all instances  $I$ :  $R_{\max}^*(I) \in [\bar{R}, \rho\bar{R}]$ .

Problem	Opt. loc.	Problem with $Qtt/Rtt$	Opt. loc.
$RO2 G = K_2 R_{\max}$	$[\bar{R}, 6/5\bar{R}]$	$RO2 G = K_2, Rtt R_{\max}$	$[\bar{R}, 5/4\bar{R}]$
$RO2 G = K_3 R_{\max}$	$[\bar{R}, 6/5\bar{R}]$	$RO2 G = K_3, Rtt R_{\max}$	$[\bar{R}, 5/4\bar{R}]$
$RO2 G = tree R_{\max}$	$[\bar{R}, 6/5\bar{R}]$	$RO2 G = tree, Rtt R_{\max}$	$[\bar{R}, 5/4\bar{R}]$
$RO2 j-prpt, G = K_2 R_{\max}$	$[\bar{R}, 7/6\bar{R}]$	$RO2 j-prpt, G = K_2, Rtt R_{\max}$	?
$RO2 j-prpt, G = K_3 R_{\max}$	$[\bar{R}, 7/6\bar{R}]$	$RO2 j-prpt, G = K_3, Rtt R_{\max}$	?

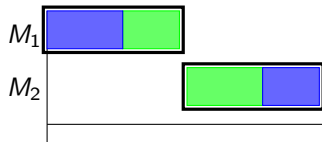
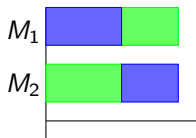
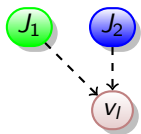
# Job aggregation



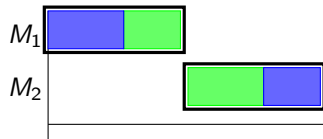
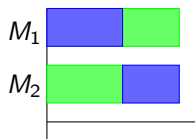
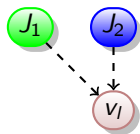
# Job aggregation



# Job aggregation



# Job aggregation

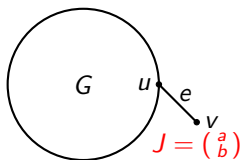


## Definition

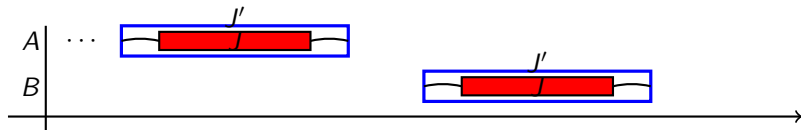
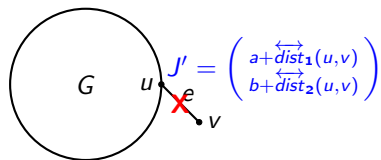
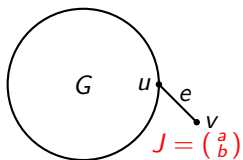
A node  $v$  is **overloaded**, if

$$\Delta(v) > \bar{R}(I) - \overleftrightarrow{\text{dist}}_{\min}(v_0, v)$$

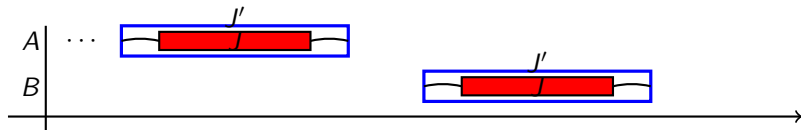
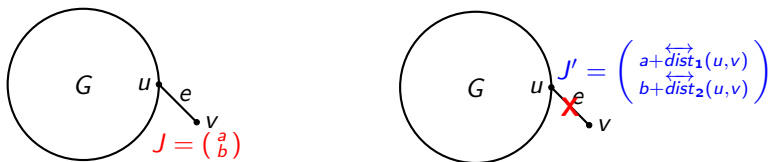
# Terminal edge contraction



# Terminal edge contraction



# Terminal edge contraction



## Definition

Terminal edge  $e$  is **overloaded**, if

$$a + b + \overleftrightarrow{\text{dist}}_1(u, v) + \overleftrightarrow{\text{dist}}_2(u, v) + \overleftrightarrow{\text{dist}}_{\min}(v_0, u) > \bar{R},$$



# Instance reduction procedure

Algorithm  $\mathcal{A}$ :

- 1 **For each** underloaded  $v \in V$  perform the job aggregation of the set  $\mathcal{J}(v)$ .
- 2 **For each** terminal node  $v \neq v_0$  with single job and its incident edge  $e = [u, v]$ 
  - 1 **If**  $e$  is underloaded, then
    - 1 Perform the contraction of  $e$ ,
    - 2 **If**  $u$  is underloaded,, **then** perform the job aggregation of the set  $\mathcal{J}(u)$ .
- 3 **If**  $v$  is overloaded **then** perform the job aggregations in  $\mathcal{J}(v)$  to obtain an irreducible instance.

# Instance reduction procedure

Algorithm  $\mathcal{A}$ :

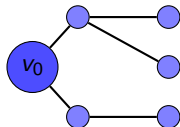
- 1 **For each** underloaded  $v \in V$  perform the job aggregation of the set  $\mathcal{J}(v)$ .
- 2 **For each** terminal node  $v \neq v_0$  with single job and its incident edge  $e = [u, v]$ 
  - 1 **If**  $e$  is underloaded, then
    - 1 Perform the contraction of  $e$ ,
    - 2 **If**  $u$  is underloaded,, **then** perform the job aggregation of the set  $\mathcal{J}(u)$ .
- 3 **If**  $v$  is overloaded **then** perform the job aggregations in  $\mathcal{J}(v)$  to obtain an irreducible instance.

## Lemma

Any instance of  $\vec{R} \text{ O2} | R \text{ tt} | R_{\max}$  contains at most one overloaded element (node or edge).

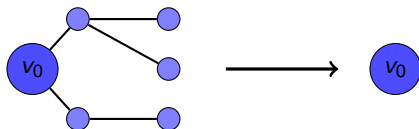
# Possible outputs of algorithm $\mathcal{A}$ for $G = \text{tree}$

Case 1:



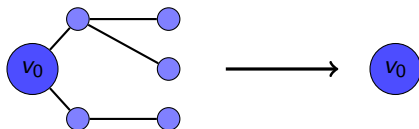
# Possible outputs of algorithm $\mathcal{A}$ for $G = \text{tree}$

Case 1:

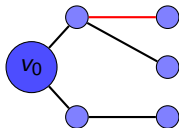


# Possible outputs of algorithm $\mathcal{A}$ for $G = \text{tree}$

Case 1:

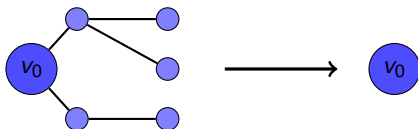


Case 2:

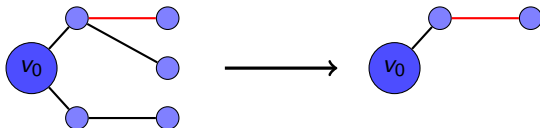


# Possible outputs of algorithm $\mathcal{A}$ for $G = \text{tree}$

Case 1:

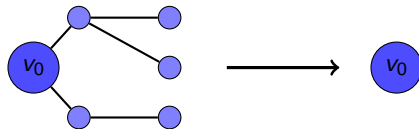


Case 2:

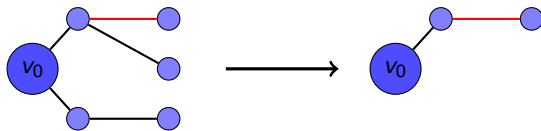


# Possible outputs of algorithm $\mathcal{A}$ for $G = \text{tree}$

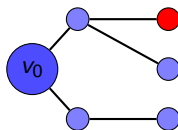
Case 1:



Case 2:

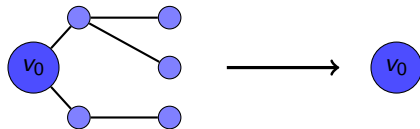


Case 3:

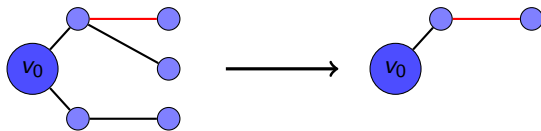


# Possible outputs of algorithm $\mathcal{A}$ for $G = \text{tree}$

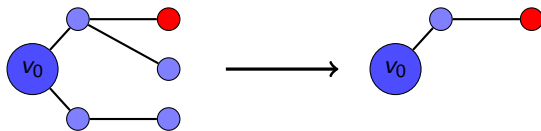
Case 1:



Case 2:



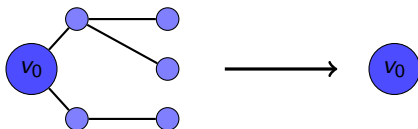
Case 3:



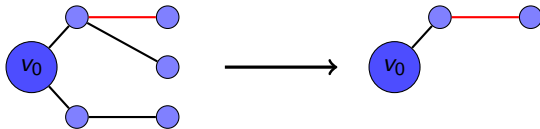


# Possible outputs of algorithm $\mathcal{A}$ for $G = \text{tree}$

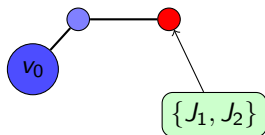
Case 1:



Case 2:

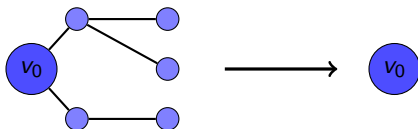


Case 3:

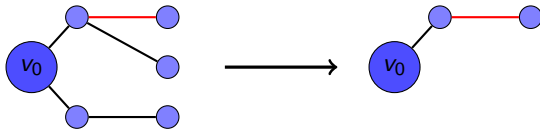


# Possible outputs of algorithm $\mathcal{A}$ for $G = \text{tree}$

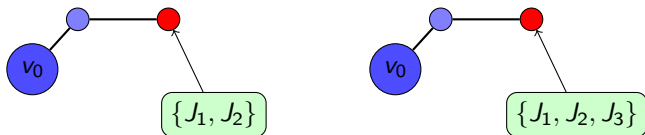
Case 1:



Case 2:



Case 3:



## Previous results

### Theorem (Chernykh I., Lgotina E., 2021)

Let  $I$  be an instance of the  $RO2|G = tree|R_{\max}$  problem,  $\tilde{I}$  is obtained from  $I$  by the algorithm  $\mathcal{A}$ , and one of the following conditions is true:

- 1  $G(\tilde{I})$  has a single node  $v_0$ ,
- 2  $G(\tilde{I})$  is a chain, connecting  $v_0$  with an overloaded node  $v$ , which contains exactly three jobs,
- 3  $G(\tilde{I})$  is a chain, connecting  $v_0$  with a node  $v$  with single job at each node, and the edge incident to  $v$  is overloaded.

Then one can in linear time build a feasible schedule  $S(\tilde{I})$  such that  $R_{\max}(S) = \bar{R}(I)$ .

## Main results: identical travel times

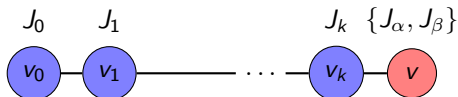
### Theorem 1

For any instance of the  $\vec{R} \text{ } O2|j\text{-}prpt, G = \text{tree}|R_{\max}$  problem a feasible schedule  $S$  with  $R_{\max}(S) \leq \frac{7}{6}\bar{R}$  can be constructed in linear time  $O(n)$ .

# Main results: identical travel times

## Theorem 1

For any instance of the  $\vec{R} \text{ } O2|j\text{-}prpt, G = \text{tree}|R_{\max}$  problem a feasible schedule  $S$  with  $R_{\max}(S) \leq \frac{7}{6}\bar{R}$  can be constructed in linear time  $O(n)$ .



## Main results: unrelated travel times

Terminal edge contraction operation would violate the  $j$ -*prpt* property.

$$J' = \begin{pmatrix} a + \overleftrightarrow{\text{dist}}_1(u, v) \\ b + \overleftrightarrow{\text{dist}}_2(u, v) \end{pmatrix}$$

## Main results: unrelated travel times

Terminal edge contraction operation would violate the  $j$ -*prpt* property.

$$J' = \begin{pmatrix} a + \overleftrightarrow{dist}_1(u, v) \\ b + \overleftrightarrow{dist}_2(u, v) \end{pmatrix}$$

Replace  $dist_1(u, v)$  and  $dist_2(u, v)$  with the  $\max\{dist_1(u, v), dist_2(u, v)\}$

## Main results: unrelated travel times

Terminal edge contraction operation would violate the  $j$ -*prpt* property.

$$J' = \begin{pmatrix} a + \overleftrightarrow{\text{dist}}_1(u, v) \\ b + \overleftrightarrow{\text{dist}}_2(u, v) \end{pmatrix}$$

Replace  $\text{dist}_1(u, v)$  and  $\text{dist}_2(u, v)$  with the  $\max\{\text{dist}_1(u, v), \text{dist}_2(u, v)\}$

$$\bar{R}(I) = \max \left\{ \ell + T_1^*, \max_{v \in V} (d_{\max}(v) + \overleftrightarrow{\text{dist}}_{\min}(v_0, v)) \right\}$$

$$\overleftrightarrow{\text{dist}}_{\min} = \min \{ \text{dist}_1(v, u) + \text{dist}_2(u, v), \text{dist}_2(v, u) + \text{dist}_1(u, v) \}$$



## Main results: unrelated travel times

Terminal edge contraction operation would violate the  $j$ -*prpt* property.

$$J' = \begin{pmatrix} a + \overleftrightarrow{\text{dist}}_1(u, v) \\ b + \overleftrightarrow{\text{dist}}_2(u, v) \end{pmatrix}$$

Replace  $\text{dist}_1(u, v)$  and  $\text{dist}_2(u, v)$  with the  $\max\{\text{dist}_1(u, v), \text{dist}_2(u, v)\}$

$$\bar{R}(I) = \max \left\{ \ell + T_1^*, \max_{v \in V} (d_{\max}(v) + \overleftrightarrow{\text{dist}}_{\min}(v_0, v)) \right\}$$

$$\overleftrightarrow{\text{dist}}_{\min} = \min \{ \text{dist}_1(v, u) + \text{dist}_2(u, v), \text{dist}_2(v, u) + \text{dist}_1(u, v) \}$$

### Definition

Distance functions  $\text{dist}_1$  and  $\text{dist}_2$  are called *comparable*, if

$$\forall u, v \in V \quad \text{dist}_1(u, v) \geq \text{dist}_2(u, v).$$

## Main results: unrelated travel times

### Theorem 2

For any instance  $I$  of the  $\vec{R} O2|j\text{-}prpt, Rtt, G = tree|R_{\max}$  with comparable distances a feasible schedule  $S$  with  $R_{\max}(S) \leq \frac{7}{6}\bar{R}$  can be built in linear time.

# Main results and future research

Problem	Opt. loc.	Problem with $Qtt/Rtt$	Opt. loc.
$RO2 G = K_2 R_{\max}$	$[\bar{R}, 6/5\bar{R}]$	$RO2 G = K_2, Rtt R_{\max}$	$[\bar{R}, 5/4\bar{R}]$
$RO2 G = K_3 R_{\max}$	$[\bar{R}, 6/5\bar{R}]$	$RO2 G = K_3, Rtt R_{\max}$	$[\bar{R}, 5/4\bar{R}]$
$RO2 G = tree R_{\max}$	$[\bar{R}, 6/5\bar{R}]$	$RO2 G = tree, Rtt R_{\max}$	$[\bar{R}, 5/4\bar{R}]$
$RO2 j-prpt, G = K_2 R_{\max}$	$[\bar{R}, 7/6\bar{R}]$	$RO2 j-prpt, G = K_2, Rtt R_{\max}$	$[\bar{R}, 7/6\bar{R}]$
$RO2 j-prpt, G = K_3 R_{\max}$	$[\bar{R}, 7/6\bar{R}]$	$RO2 j-prpt, G = K_3, Rtt R_{\max}$	?
$RO2 j-prpt, G = tree R_{\max}$	$[\bar{R}, 7/6\bar{R}]$	$RO2 j-prpt, G = tree, Rtt R_{\max}$	?

Thank you for attention!