Instance reduction for the routing open shop problem and the problem generalization with extended jobs

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... and their combination $ROm||R_{max}|$





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 $\left[\{J_1,\ldots,J_n\} \right]$



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Ilya Chernykh Instance reduction for RO2||Rmax



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Complexity review

$RO1||R_{max}|$

Equivalent to metric TSP; strongly NP-hard

$ROm|G = K_1|R_{max}$

Equivalent to $Om || C_{max}$:

- Solvable in linear time for m = 2 [Gonzalez, Sahni 1976]
- P-hard for m≥ 3 [Gonzalez, Sahni 1976]; PTAS exists for any m = const [Sevastyanov, Woeginger 1998]
- Strongly NP-hard for unbounded m; cannot be approximated with ratio better than 5/4 [Williamson et al 1997]

$RO2|G = K_2|R_{\max}$

- NP-hard [Averbakh, Berman, Ch 2006]
- Also NP-hard in proportionate case [Pyatkin, Ch 2022]
- FPTAS exists [Kononov 2012]

Standard lower bound

p_{ji} — processing time;

•
$$\ell_i = \sum_{j=1}^n p_{ji}$$
 — machine load of M_i , $d_j = \sum_{i=1}^m p_{ji}$ — job length of J_j ,

- $\ell_{\max} = \max \ell_i \max$ imum machine load,
- $d_{\max}(v) = \max_{J_j \in \mathcal{J}(v)} d_j$ maximum length of job from v,
- $G = \langle V, E \rangle$ the transportation network,
- $T^* \text{TSP}$ optimum on G,
- $v_0 \in V$ the depot,
- dist(u, v) travel time between u и v.

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Standard lower bound for $ROm||R_{max}|$

$$\bar{R} = \max\left\{\ell_{\max} + T^*, \max_{v \in V} \left(d_{\max}(v) + 2\operatorname{dist}(v_0, v)\right)\right\}$$

Single node (open shop)

- $RO2|G = K_1|R_{max}$: $OPT = \overline{R}$ [Gonzalez, Sahni 1976]
- $RO3|G = K_1|R_{max}$: $OPT \in [\overline{R}, \frac{4}{3}\overline{R}]$ [Sevastyanov, Ch 1998]

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More than one node

- $RO2|G = K_2|R_{max}$: $OPT \in [\overline{R}, \frac{6}{5}\overline{R}]$ [Averbakh, Berman, Ch 2005]
- $RO2|G = K_3|R_{max}$: $OPT \in [\overline{R}, \frac{6}{5}\overline{R}]$ [Lgotina, Ch 2016]
- $RO2|G = tree|R_{max}$: $OPT \in [\overline{R}, \frac{6}{5}\overline{R}]$ [Krivonogova, Ch 2019]
- $RO3|G = K_2|R_{max}$: $OPT \in [\overline{R}, \frac{4}{3}\overline{R}]$ [Krivonogova, Ch 2020]

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A critical instance with $OPT = 6/5\overline{R}$



Standard lower bound

$$\bar{R} = \max\{\ell_{\max} + 2\tau, d_{\max}(v_0), d_{\max}(v_1) + 2\tau\}.$$

The instance $J_0 = (4,0); \tau = 1; J_1 = (2,4); J_2 = (2,4).$ M_1 M_2 M_2 M_2 M_2 M_2 M_3 M_3 M_2 M_3 M_3 M_2 M_3 $M_$

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$RO2||R_{max}$: Is $OPT > \frac{6}{5}\overline{R}$ for some instance?

$$G = K_2$$

[Averbakh, Berman, Ch 2005]

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As a matter of fact, YES!

• $RO2|G = C_4|R_{max}$: $OPT \in [\overline{R}, \frac{5}{4}\overline{R}]$ [Chechushkov, Ch 2023]







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Ilya Chernykh Instance reduction for RO2||R_{max}

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Definition

Let $\mathcal{K} \subseteq \mathcal{J}(v)$ — some subset of jobs from v. The following transformation is called aggregation operation of set \mathcal{K} :

$$\mathcal{J}'(\mathbf{v}) = \mathcal{J}(\mathbf{v}) \setminus \mathcal{K} \cup \{J_{\mathcal{K}}\}, \ p_{\mathcal{K}i} = \sum_{J_i \in \mathcal{K}} p_{ji}.$$

 $J_{\mathcal{K}}$ is a new job to substitute \mathcal{K} .

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Terminal edge contraction



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Definition

Cycle is terminal if it contains the only *gate* (node of degree > 2 or depot v_0).



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Lemma 1

It is possible to reduce the number of jobs by means of job aggregation in such way, that \overline{R} is preserved AND there are at most m-1 nodes with more that 1 job each, containing in total at most 2m-1 jobs.

Lemma 2

It is possible to eliminate all but at most m-1 terminal elements by means of edge and cycle contraction in such way, that \overline{R} is preserved.

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Further instance reduction techniques



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Edges not belonging the optimal route are chords. Chords incident to the depot are radii. Chord is critical if its removal leads to increasing of \bar{R} .

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Lemma 3

Let I be an instance of $ROm||R_{max}$, G is complete and with metric distances. When removal of all the chords except for the radii doesn't increase the value of \bar{R} .

Lemma 4

Let instance I of $ROm||R_{max}$ contain m-1 critical radii. Then removal of all the other chords doesn't increase the value of \overline{R} .

How does it work for m = 2?



Obsolete chords removal

• Add radii to G, if necessary.

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Obsolete chords removal

- Add radii to G, if necessary.
- emove every non-radius chord.
- Semove all the radii with possible exception of single critical.

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Consider a chain $C = (v_1, v_2, ..., v_k)$ such that all nodes $v_2, ..., v_{k-1}$ are of degree 2.

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Definition

New edges obtained by chain contractions (and containing jobs) will be referred to as tunnels.



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 - Any number of machines can travel over a tunnel at once, but only one can process an operation at a time.
 - Machine processes the tunnel while traveling over it. Travel and processing times are combined.
- The goal is to process all jobs and to return to the depot ASAP.

Ultimate instance reduction (for m = 2)

Theorem 1

For any instance I of $RO2||R_{max}$, one can use job aggregation, chord removal and cycle/chain contractions to transform I into I' with at most two tunnels, such that $\bar{R}(I') = \bar{R}(I)$ and I' has one of the following structures.

Possible structures

The depot and v_2 contain at most one job each. The node v_1 contains at most 3.



Standard lower bound for $ROm||R_{max}|$

$$ar{R} = \max iggl\{ \ell_{\mathsf{max}} + \mathcal{T}^*, \max_{v \in V} iggl(d_{\mathsf{max}}(v) + 2 \mathrm{dist}(v_0, v) iggr) iggr\}$$

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Traveling salesman problem

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$$\bar{R} = \max\left\{\ell_{\max} + T^*_{TSP}, \max_{v \in V} \left(d_{\max}(v) + 2\operatorname{dist}(v_0, v)\right)\right\}$$



Traveling salesman problem

T* is the TSP optimum.

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Traveling salesman problem

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Rural postman problem

Standard lower bound for $ROm||R_{max}|$

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Traveling salesman problem

Rural postman

problem

 T^*_{TSP} is the TSP optimum.

T_{RPP} is the RPP optimum.

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The standard lower bound for the problem with tunnels

Notation for $\overline{\overline{R}}Om||R_{\max}|$

- $\mathcal{T} \subseteq E$ the set of tunnels, \mathcal{T}^*_{RPP} the RPP optimum on G,
- $\forall \tau = [v, u] \in \mathcal{T}$:
 - $|\tau|$ travel time over τ ,
 - $j(\tau)$ (extended) job, located on τ ,
 - dist $(v_0, \tau) = \min{\{\operatorname{dist}(v_0, v), \operatorname{dist}(v_0, u)\}}.$
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$$\overline{\overline{R}} = \max\left\{\ell_{\max} + T_{RPP}^{*}, \max_{v \in V} \left(d_{\max}(v) + 2\operatorname{dist}(v_{0}, v)\right), \\ \max_{\tau \in \mathcal{T}} \left(d_{j(\tau)} + 2|\tau| + 2\operatorname{dist}(v_{0}, \tau)\right)\right\}.$$

$$M_{1} \xrightarrow{\operatorname{dist}(v_{0}, v)} \operatorname{dist}(v_{0}, u) \xrightarrow{|\tau| + a_{j(\tau)}} \operatorname{dist}(v_{0}, v)} \operatorname{dist}(v_{0}, v)$$

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$$M_{1} \left(\operatorname{dist}(v_{0}, v) + |\tau| + a_{j(\tau)} + \operatorname{dist}(v_{0}, v) +$$

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General two-machine problem with tunnels

Theorem 2

There exist a linear 2-approximation algorithm for $\overline{R}O2||R_{max}$ problem.

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General two-machine problem with tunnels

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There exist a linear 2-approximation algorithm for $\overline{R}O2||R_{max}$ problem.

Algorithm

- Find a ³/₂-approximate solution for the underlying RPP. Enumerate jobs according to that solution.
- Let machine M₁ process jobs in order of that enumeration, while M₂ process jobs in opposite order.
- If collision occurs, try to resolve it in two possible ways and choose the best.

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Open questions

- Is it true, that for $RO2||R_{max} OPT \leq \frac{5}{4}\overline{R}$? (We know, that $OPT \leq \frac{4}{3}\overline{R}$ [Ch, Kononov, Sevastyanov 2013])
- Is it true, that for special cases $\overline{R}O2||R_{max}$ from Theorem 1 OPT ≤ $\frac{5}{4}\overline{R}$? (If it is true, number 1 follows)
- Find a better approximation for $\overline{\overline{R}}O2||R_{max}|$

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Thank you for your attention!